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## REISSUE PATENT APPLICATION TRANSMITTAL

|   |  |                     |             |                      |                |                        |           |  |                    |                        |               |
|---|--|---------------------|-------------|----------------------|----------------|------------------------|-----------|--|--------------------|------------------------|---------------|
| <b>Address to:</b><br><b>Assistant Commissioner for Patents</b><br><b>Box Patent Application</b><br><b>Washington, DC 20231</b>   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Attorney Docket No.</td> <td style="padding: 2px;">75329 74019</td> </tr> <tr> <td style="padding: 2px;">First Named Inventor</td> <td style="padding: 2px;">Thomas J. Shaw</td> </tr> <tr> <td style="padding: 2px;">Original Patent Number</td> <td style="padding: 2px;">5,810,775</td> </tr> <tr> <td style="padding: 2px;">Original Patent Issue Date<br/>(Month/Day/Year)</td> <td style="padding: 2px;">September 22, 1998</td> </tr> <tr> <td style="padding: 2px;">Express Mail Label No.</td> <td style="padding: 2px;">EL465447323US</td> </tr> </table>  | Attorney Docket No. | 75329 74019 | First Named Inventor | Thomas J. Shaw | Original Patent Number | 5,810,775 | Original Patent Issue Date<br>(Month/Day/Year) | September 22, 1998 | Express Mail Label No. | EL465447323US |
| Attorney Docket No.   | 75329 74019  |                     |             |                      |                |                        |           |  |                    |                        |               |
| First Named Inventor  | Thomas J. Shaw   |                     |             |                      |                |                        |           |  |                    |                        |               |
| Original Patent Number  | 5,810,775  |                     |             |                      |                |                        |           |  |                    |                        |               |
| Original Patent Issue Date<br>(Month/Day/Year)  | September 22, 1998   |                     |             |                      |                |                        |           |  |                    |                        |               |
| Express Mail Label No.  | EL465447323US  |                     |             |                      |                |                        |           |  |                    |                        |               |
| <b>APPLICATION FOR REISSUE OF:</b><br>(check applicable box) <input checked="" type="checkbox"/> Utility Patent <input type="checkbox"/> Design Patent <input type="checkbox"/> Plant Patent  |  |                     |             |                      |                |                        |           |  |                    |                        |               |
| <b>APPLICATION ELEMENTS</b><br>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (PTO/SB/56)<br>(Submit an original, and a duplicate for fee processing)<br>2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate)<br>3. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)<br>4. <input checked="" type="checkbox"/> Reissue Oath / Declaration (original or copy)<br>(37 C.F.R. § 1.175)(PTO/SB/51 or 52)<br>5. Original U.S. Patent<br><input checked="" type="checkbox"/> Offer to Surrender Original Patent (37 C.F.R. § 1.178)<br>(PTO/SB/53 or PTO/SB/54)<br>or<br><input checked="" type="checkbox"/> Ribboned Original Patent Grant<br><input type="checkbox"/> Affidavit / Declaration of Loss (PTO/SB/55)<br>6. Original U.S. Patent currently assigned?<br><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(If Yes, check applicable box(es))<br><input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53 or 54)<br><input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney | <b>ACCOMPANYING APPLICATION PARTS</b><br>7. <input type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119)<br>(if applicable)<br>8. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations<br>9. <input type="checkbox"/> English Translation of Reissue Oath/Declaration<br>(if applicable)<br>10. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input checked="" type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)<br>11. <input type="checkbox"/> Preliminary Amendment<br>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>13. <input type="checkbox"/> Other: _____<br>_____<br>_____<br>_____<br>_____ |                     |             |                      |                |                        |           |  |                    |                        |               |
| <div style="border: 1px solid black; padding: 5px; font-size: small;">             * NOTE FOR ITEMS 1 &amp; 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).           </div>   |  |                     |             |                      |                |                        |           |  |                    |                        |               |

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|                   |                        |                                   |              |
|-------------------|------------------------|-----------------------------------|--------------|
| NAME (Print/Type) | Harry J. Watson        | Registration No. (Attorney/Agent) | 29,985       |
| Signature         | <i>Harry J. Watson</i> | Date                              | Sep 22, 1998 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

AIRE

160887 U.S. PTO  
09/19/00

PATENT  
Our File: 75329 74019

jc813 U.S. PTO  
09/665634  
09/19/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of:  
**THOMAS J. SHAW**

For Reissue of U.S. Patent 5,810,775  
Issued September 22, 1998  
Serial No. 08/862,849

Group Art Unit: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Examiner:

Serial No.: \_\_\_\_\_

For **CAP OPERATED RETRACTABLE  
MEDICAL DEVICE**

## **REISSUE APPLICATION TRANSMITTAL LETTER**

To: The Honorable Commissioner  
Of Patents and Trademarks  
Washington, DC 20231

Sir:

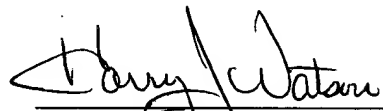
Enclosed for filing are the following papers relating to an application entitled CAP OPERATED RETRACTABLE MEDICAL DEVICE, Thomas J. Shaw inventor, for reissue of U.S. Patent No. 5,810,775 issued September 22, 1998:

1. Reissue patent application transmittal, PTO/SB/50.
2. Fee transmittal PTO/SB/17.
3. Submission of cut-up specification, claims and drawings under 37 C.F.R. § 1.173.
4. Reissue declaration and accompanying reissue application, claims and drawings.
5. Order for title report and assignment.
6. Assent of assignee for reissue of U.S. Patent 5, 810,775.
7. Assignee's offer to surrender U. S. Patent 5,810,775.

8. Declaration and power of attorney.
9. Information disclosure statement, PTO/SB/08A.
10. Copies of all references cited in information disclosure statement.
11. Original grant of U.S. Patent 5,810,775.
12. Express Mail Certificate of Mailing.
13. Return receipt postcard.
14. Check in the amount of \$696.00.

Date: September 18, 2000

Respectfully submitted,



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Harry J. Watson  
Registration No. 29,985  
Locke Liddell & Sapp, LLP  
2200 Ross Avenue, Suite 2200  
Dallas, Texas 75201  
214/740-8000 Telephone  
214/740-8800 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shaw, Thomas J.

For: Cap Operated Retractable Medical Device

Assistant Commissioner for Patents  
Washington, D.C. 20231

Reissue application for:  
US Patent 5,810,775

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL465447323US

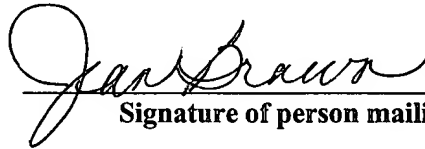
Date of Deposit 09/19/2000

I hereby state that the following *attached* papers and fee:

Reissue patent application transmittal letter; reissue patent application transmittal; fee transmittal; submission of cut-up specification, claims and drawings; reissue declaration and accompanying reissue application, claims and drawings; order for title report and assignment; assent of assignee for reissue of U.S. Patent 5,810,775; Assignee's offer to surrender U.S. Patent 5,810,775; declaration and power of attorney; information disclosure statement; copies of all references cited in information disclosure statement; original grant of U.S. Patent 5,810,775; Express Mail certificate of mailing; return receipt postcard and check in the amount of \$696.00

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jean Brown



Signature of person mailing paper or fee

(Express Mail Certificate--page 1 of 1)

00/61/60  
09/665634  
Jc813 U.S. PTO

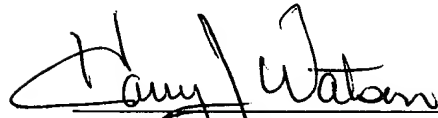
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Examiner: \_\_\_\_\_

**1**

Date: September 18, 2000

Respectfully submitted,

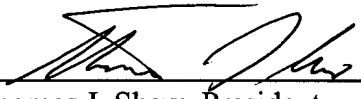
A handwritten signature in black ink, appearing to read "Harry J. Watson", written over a horizontal line.

Harry J. Watson  
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original and reissued patents are identical, such surrender shall not effect any action then pending nor any cause of action then existing, and the reissued patent, to the extent that its claims are identical with the original patent, shall constitute a continuation thereof and have effect continuously from the date of the original patent. Assignee also acknowledged intervening rights of others pursuant to 35 U.S.C. §252 ¶2.

Date: September 15, 2000

RETRACTABLE TECHNOLOGIES, INC.,  
A Texas Corporation, Assignee

By:   
Thomas J. Shaw, President